

Mutation-linear maps

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Mutation-linear algebra

The mutation fan

When is the identity map mutation-linear?

Linear algebra

Linear algebra = the study of linear relations:

formal finite linear combinations $\sum c_i \mathbf{v}_i$ that evaluate to $\mathbf{0}$.

- $U \subseteq \mathbb{R}^n$ is **independent** if there are no nontrivial linear relations supported in U .
- $U \subseteq \mathbb{R}^n$ is **spanning** if for every $\mathbf{a} \in \mathbb{R}^n$, there exists a linear relation $\mathbf{a} - \sum c_i \mathbf{v}_i$ with $\{\mathbf{v}_i\} \subseteq U$.
- A map $\eta : \mathbb{R}^n \rightarrow \mathbb{R}^m$ is **linear** if for every linear relation $\sum c_i \mathbf{v}_i$ in \mathbb{R}^n , the expression $\sum c_i \eta(\mathbf{v}_i)$ is a linear relation in \mathbb{R}^m .

Partial linear structures

Distinguish a subset \mathcal{A} (the “active” linear relations) of all linear relations. Reformulate linear algebra in terms of \mathcal{A} .

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- $\eta : (\mathbb{R}^n, \mathcal{A}) \rightarrow (\mathbb{R}^m, \mathcal{A}')$ is **linear** if for each **active** linear relation $\sum c_i \mathbf{v}_i$ in \mathbb{R}^n , the expression $\sum c_i \eta(\mathbf{v}_i)$ is an **active** linear relation. That is, $\sum c_i \mathbf{v}_i \in \mathcal{A} \implies \sum c_i \eta(\mathbf{v}_i) \in \mathcal{A}'$.

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- Some conditions $\implies \exists$ basis (usual Zorn’s lemma argument).
- Generality here for clarity only. I have exactly 1 kind of example.

Matrix mutation

Let $B = (b_{ij})$ be $n \times n$ skew-symmetrizable integer matrix.

(An **exchange matrix**.) Let $\mathbf{a} \in \mathbb{R}^n$ and let \tilde{B} be $\begin{bmatrix} B \\ \mathbf{a} \end{bmatrix}$ (i.e. B with an extra row \mathbf{a}). For $k \in \{1, \dots, n\}$, the **mutation** of B in **direction k** is $B' = \mu_k(B)$ with entries given by

$$b'_{ij} = \begin{cases} -b_{ij} & \text{if } k \in \{i, j\}; \\ b_{ij} + |b_{ik}|b_{kj} & \text{if } k \notin \{i, j\} \text{ and } b_{ik}b_{kj} > 0; \\ b_{ij} & \text{otherwise.} \end{cases}$$

Example:
$$\begin{bmatrix} 0 & 0 & 1 & -1 \\ 0 & 0 & 2 & 0 \\ -1 & -1 & 0 & 1 \\ 1 & 0 & -3 & 0 \\ \mathbf{0} & \mathbf{-2} & \mathbf{-1} & \mathbf{1} \end{bmatrix} \xleftrightarrow{\mu_3} \begin{bmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & -2 & 2 \\ 1 & 1 & 0 & -1 \\ -2 & -3 & 3 & 0 \\ \mathbf{-1} & \mathbf{-3} & \mathbf{1} & \mathbf{1} \end{bmatrix}$$

For a sequence $\mathbf{k} = k_q, k_{q-1}, \dots, k_1$, similarly define $\mu_{\mathbf{k}}(B)$.

Mutation maps $\eta_{\mathbf{k}}^B$

We continue with $\tilde{B} = \begin{bmatrix} B \\ \mathbf{a} \end{bmatrix}$ and $\mathbf{k} = k_q, k_{q-1}, \dots, k_1$. Define $\eta_{\mathbf{k}}^B(\mathbf{a})$ to be the coefficient row of $\mu_{\mathbf{k}}(\tilde{B})$. Concretely, for $\mathbf{k} = k$:

$\eta_{\mathbf{k}}^B(\mathbf{a}) = (a'_1, \dots, a'_n)$, where

$$a'_j = \begin{cases} -a_k & \text{if } j = k; \\ a_j + a_k b_{kj} & \text{if } j \neq k, a_k \geq 0 \text{ and } b_{kj} \geq 0; \\ a_j - a_k b_{kj} & \text{if } j \neq k, a_k \leq 0 \text{ and } b_{kj} \leq 0; \\ a_j & \text{otherwise.} \end{cases}$$

$\eta_{\mathbf{k}}^B$ is **linear** in $\{\mathbf{a} \in \mathbb{R}^n : a_k \geq 0\}$ and **linear** in $\{\mathbf{a} \in \mathbb{R}^n : a_k \leq 0\}$.

The maps $\eta_{\mathbf{k}}^B$ are the **mutation maps** associated to B .

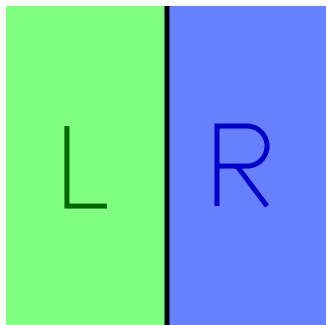
They are piecewise-linear homeomorphisms of \mathbb{R}^n .

Their inverses are also mutation maps.

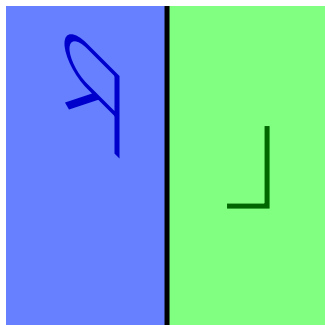
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$$\begin{bmatrix} 0 & 1 \\ -1 & 0 \\ a_1 & a_2 \end{bmatrix} \xrightarrow{\mu_1} \begin{bmatrix} 0 & -1 \\ 1 & 0 \\ -a_1 & ? \end{bmatrix}$$

$$? = \begin{cases} a_2 & \text{if } a_1 \leq 0 \\ a_2 + a_1 & \text{if } a_1 \geq 0 \end{cases}$$



$$\xrightarrow{\eta_1^B}$$



B -coherent linear relations

Let S be a finite set, let $(\mathbf{v}_i : i \in S)$ be vectors in \mathbb{R}^n and let $(c_i : i \in S)$ be real numbers.

The formal expression $\sum_{i \in S} c_i \mathbf{v}_i$ is a B -coherent linear relation if

$$\sum_{i \in S} c_i \eta_{\mathbf{k}}^B(\mathbf{v}_i) = \mathbf{0}.$$

holds for every finite sequence $\mathbf{k} = k_q, \dots, k_1$.

In particular, $\sum_{i \in S} c_i \mathbf{v}_i$ is a linear relation in the usual sense.

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¹I'm fibbing.

Mutation-linear algebra

Write \mathbb{R}^B for the partial linear structure on \mathbb{R}^n whose active linear relations are the B -coherent linear relations. The study of \mathbb{R}^B is **mutation-linear algebra**.

- $U \subseteq \mathbb{R}^B$ is **independent** if there are no nontrivial **B -coherent** linear relations supported in U .
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What I'm **not** going to talk about: Basis for $\mathbb{R}^B =$ Universal geometric coefficients for cluster algebras associated to B .

Mutation-linear algebra

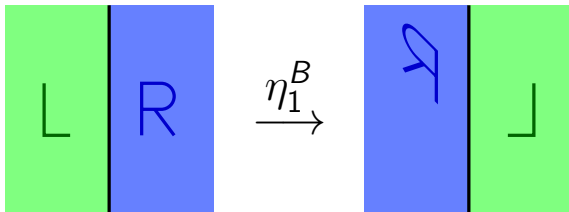
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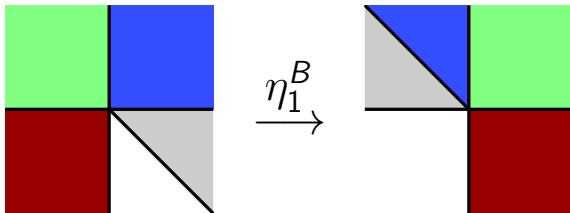
What I **am** going to talk about: Mutation-linear maps.

- A map $\eta : \mathbb{R}^B \rightarrow \mathbb{R}^{B'}$ is **mutation-linear** if for every **B -coherent** linear relation $\sum c_i \mathbf{v}_i$, the expression $\sum c_i \eta(\mathbf{v}_i)$ is a **B' -coherent** linear relation.

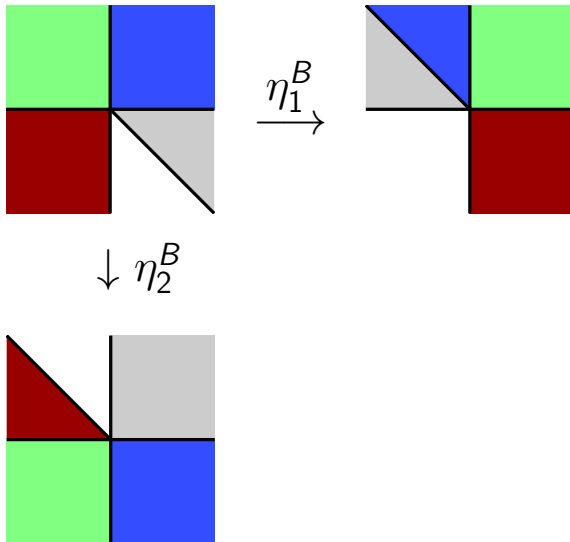
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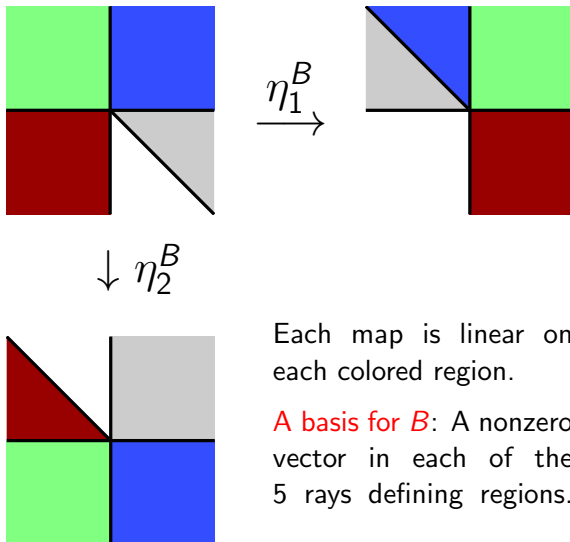
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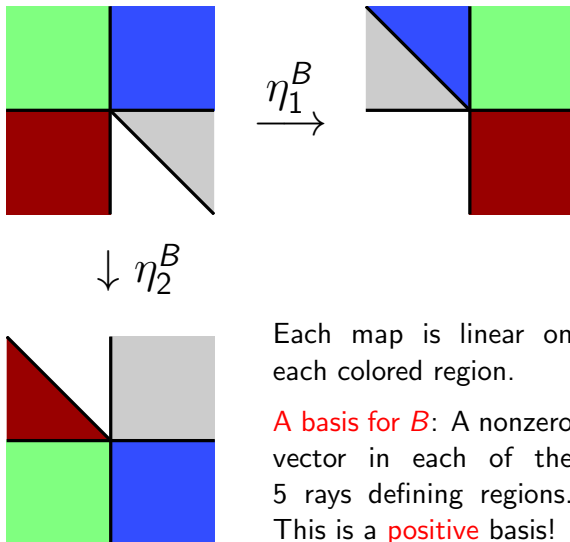
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Each map is linear on each colored region.

A basis for B : A nonzero vector in each of the 5 rays defining regions. This is a **positive** basis!

The mutation fan

The example suggests an “easy” way to get B -coherent linear relations: Find vectors in the same domain of linearity of all mutation maps and make a linear relation among them.

Define an equivalence relation \equiv^B on \mathbb{R}^n by setting

$$\mathbf{a}_1 \equiv^B \mathbf{a}_2 \iff \mathbf{sgn}(\eta_{\mathbf{k}}^B(\mathbf{a}_1)) = \mathbf{sgn}(\eta_{\mathbf{k}}^B(\mathbf{a}_2)) \quad \forall \mathbf{k}.$$

$\mathbf{sgn}(\mathbf{a})$ is the vector of signs $(-1, 0, +1)$ of the entries of \mathbf{a} .

B -classes: equivalence classes of \equiv^B .

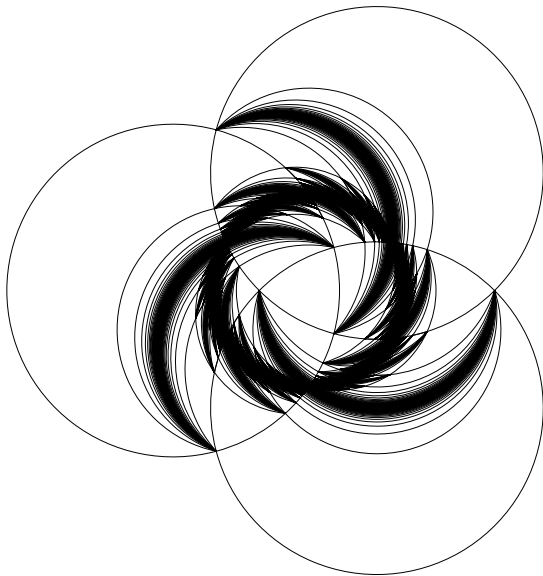
B -cones: closures of B -classes.

Mutation fan for B :

The collection \mathcal{F}_B of all B -cones and all faces of B -cones.

Theorem (R., 2011). \mathcal{F}_B is a complete fan (possibly with infinitely many cones).

Example: $B = \begin{bmatrix} 0 & 2 & -2 \\ -2 & 0 & 2 \\ 2 & -2 & 0 \end{bmatrix}$



The mutation fan and mutation-linear algebra

A basis for \mathbb{R}^B is **positive** if, for every $\mathbf{a} = (a_1, \dots, a_n) \in \mathbb{R}^n$, the unique B -coherent linear relation $\mathbf{a} - \sum_{i \in I} c_i \mathbf{b}_i$ has all $c_i \geq 0$.

Theorem (R., 2014). If a positive basis exists for \mathbb{R}^B , then a map $\eta : \mathbb{R}^B \rightarrow \mathbb{R}^{B'}$ is mutation-linear if and only if for every B -cone C , the restriction $\eta|_C$ is a linear map into some B' -cone.

The mutation fan and mutation-linear algebra

A basis for \mathbb{R}^B is **positive** if, for every $\mathbf{a} = (a_1, \dots, a_n) \in \mathbb{R}^n$, the unique B -coherent linear relation $\mathbf{a} - \sum_{i \in I} c_i \mathbf{b}_i$ has all $c_i \geq 0$.

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Feel free to not worry about positive bases for \mathbb{R}^B . Just think of this as “If B is well-behaved, then...”

We'll stay in the well-behaved case.

Bijection mutation-linear maps

We'll **restrict our focus** to bijective mutation-linear maps.
(These are not necessarily mutation-linear isomorphisms.)

We'll **restrict our focus further** to the question:

When is the identity map $\mathbb{R}^B \rightarrow \mathbb{R}^{B'}$ mutation-linear?

By the previous theorem:

$\text{id} : \mathbb{R}^B \rightarrow \mathbb{R}^{B'}$ is mutation-linear if and only if \mathcal{F}_B **refines** $\mathcal{F}_{B'}$.

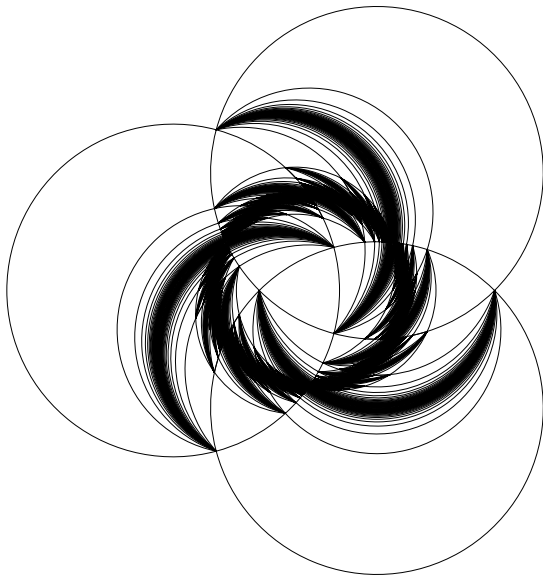
This **can fail**. The **surprise** is that this actually **happens** often.

A **necessary*** condition: B **dominates** B' .

That is, b_{ij} and b'_{ij} weakly agree in sign and $|b_{ij}| \geq |b'_{ij}|$ for all i, j .

Example: $B = \begin{bmatrix} 0 & 2 & -2 \\ -2 & 0 & 2 \\ 2 & -2 & 0 \end{bmatrix}$ $B' = \begin{bmatrix} 0 & 1 & -1 \\ -1 & 0 & 2 \\ 1 & -2 & 0 \end{bmatrix}$

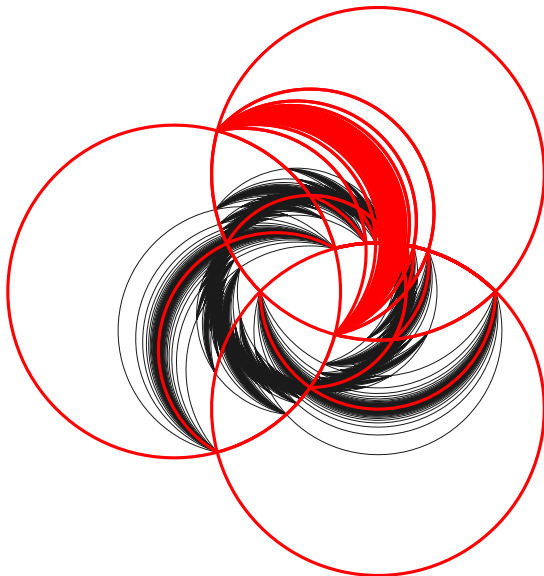
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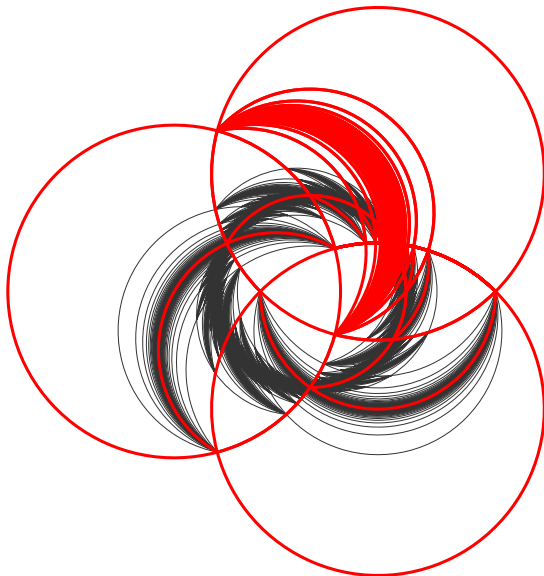
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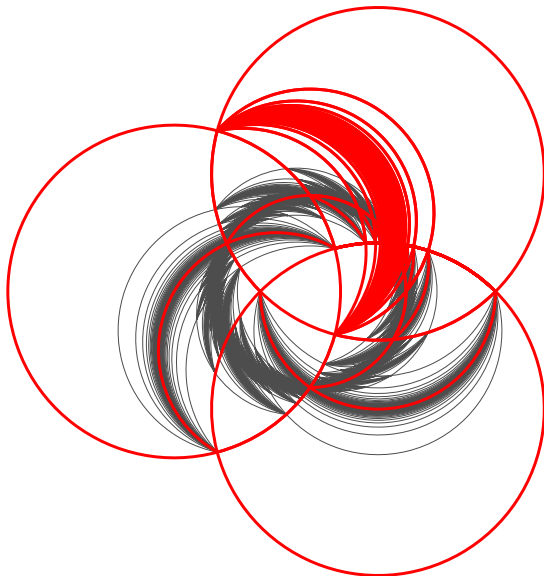
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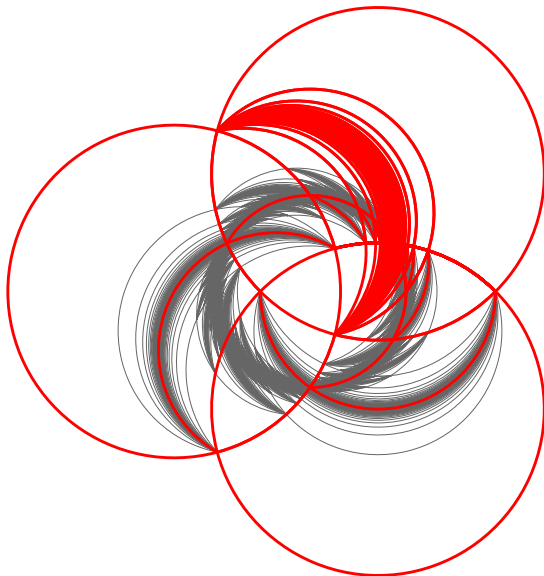
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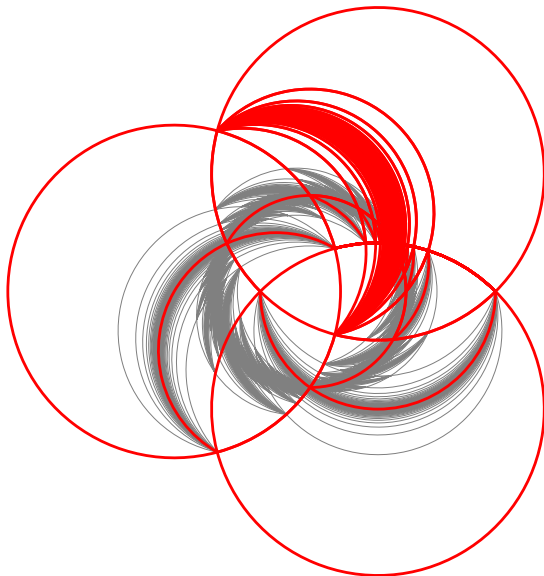
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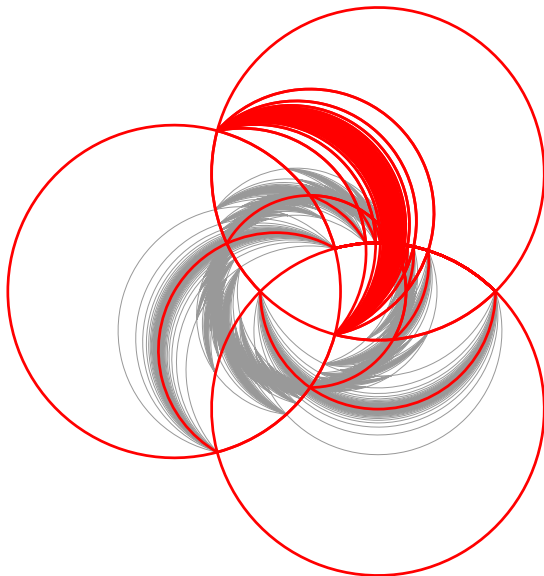
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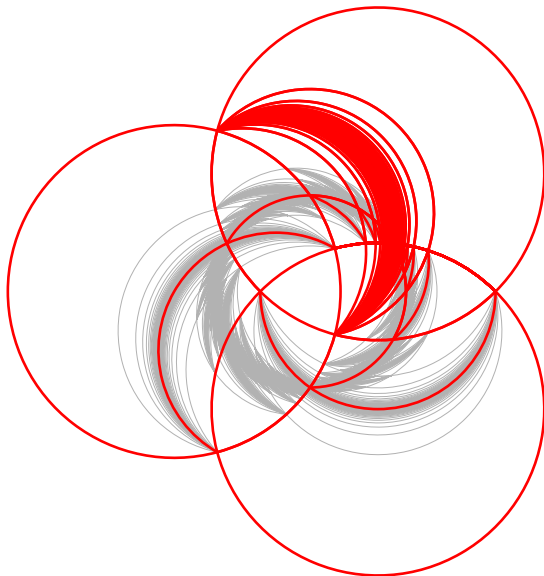
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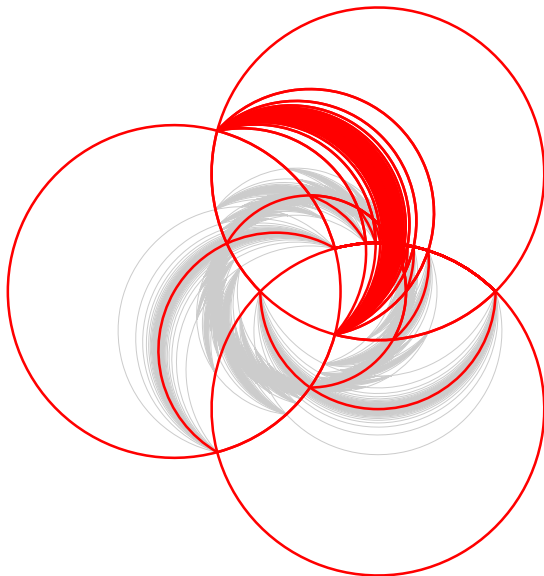
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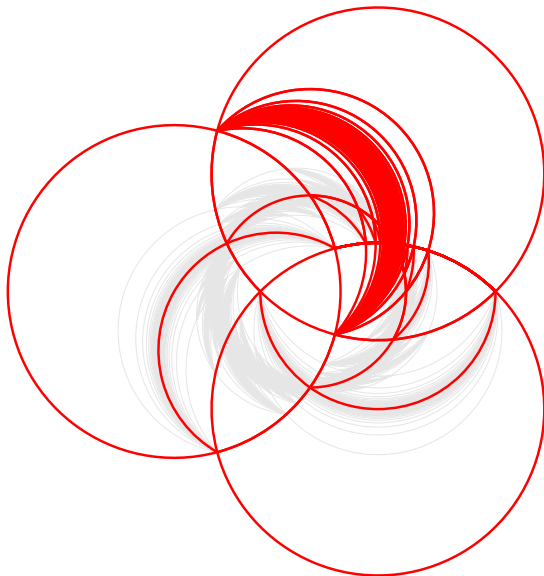
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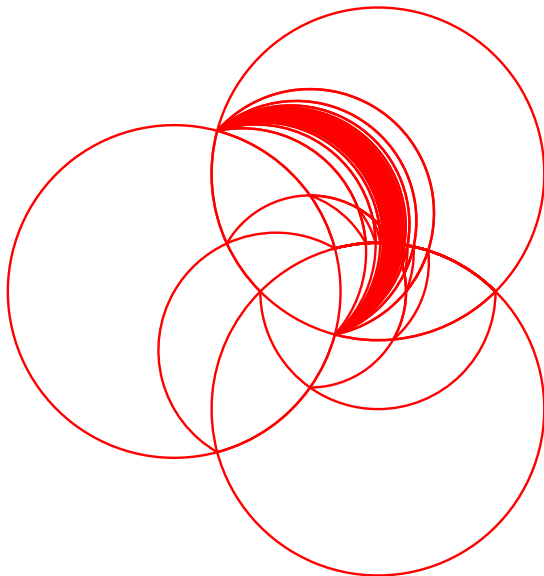
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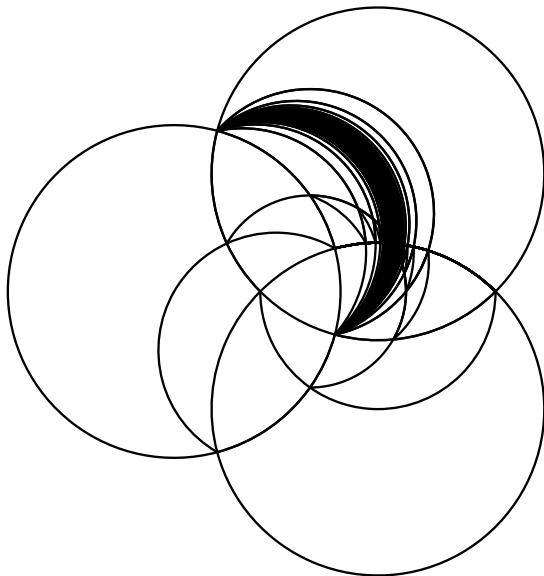
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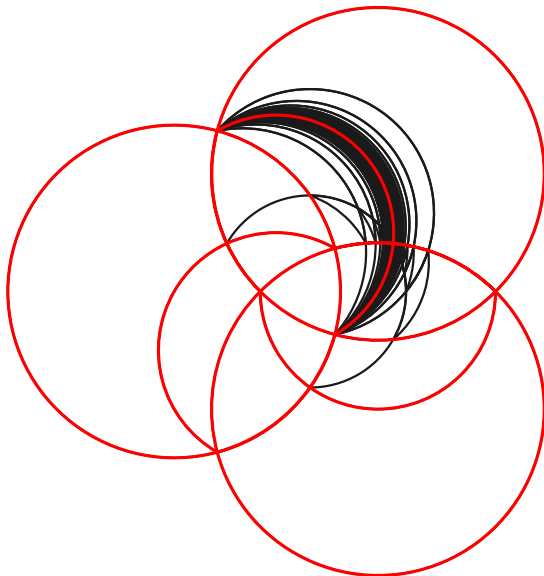
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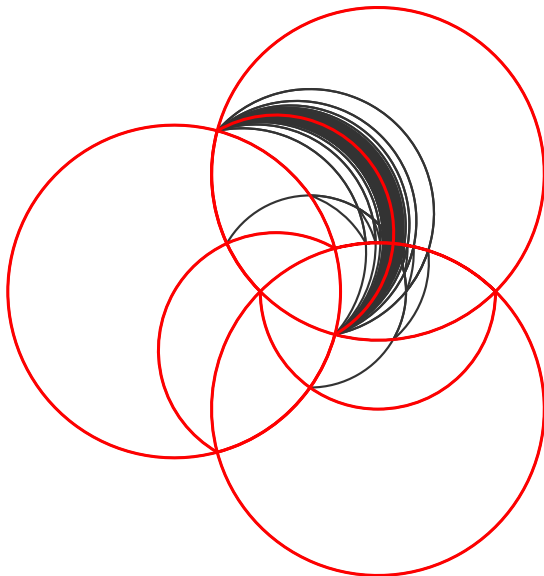
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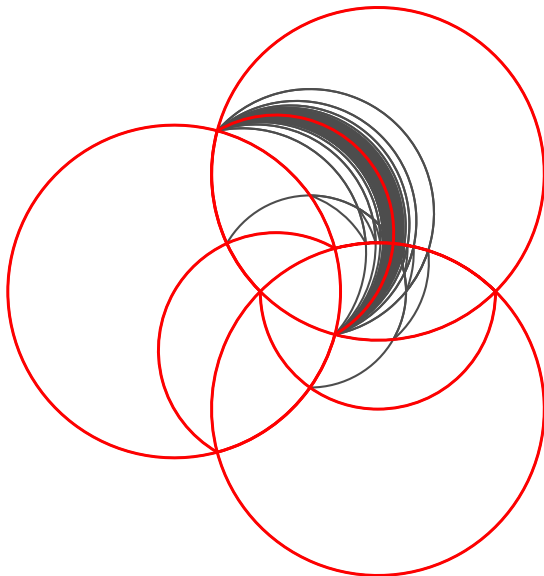
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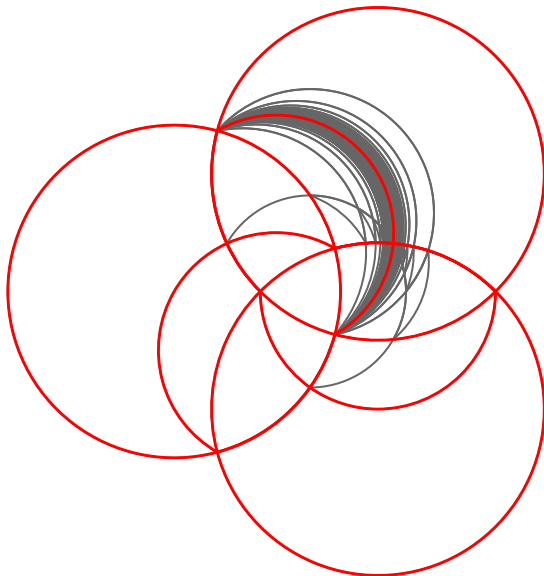
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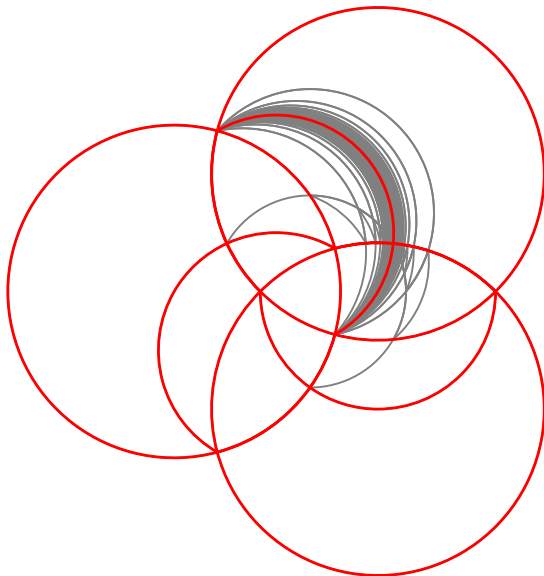
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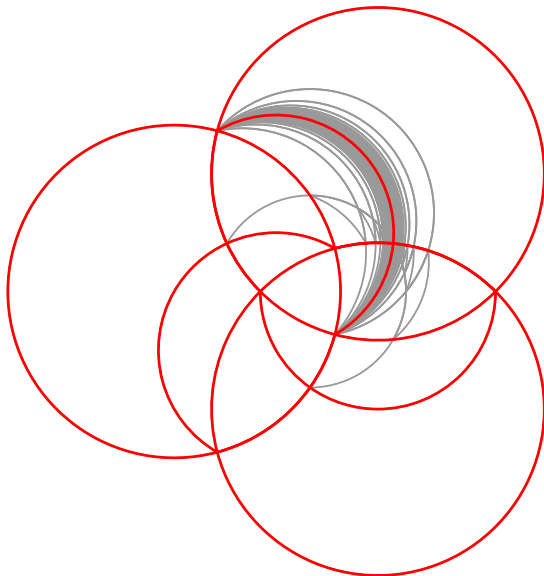
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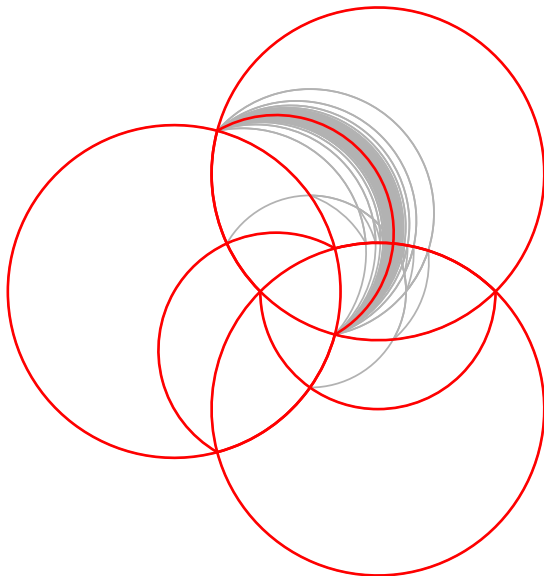
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Example: $B = \begin{bmatrix} 0 & 2 & -2 \\ -2 & 0 & 2 \\ 2 & -2 & 0 \end{bmatrix}$ $B' = \begin{bmatrix} 0 & 1 & -1 \\ -1 & 0 & 2 \\ 1 & -2 & 0 \end{bmatrix}$ $B'' = \begin{bmatrix} 0 & 1 & -1 \\ -1 & 0 & 1 \\ 1 & -1 & 0 \end{bmatrix}$

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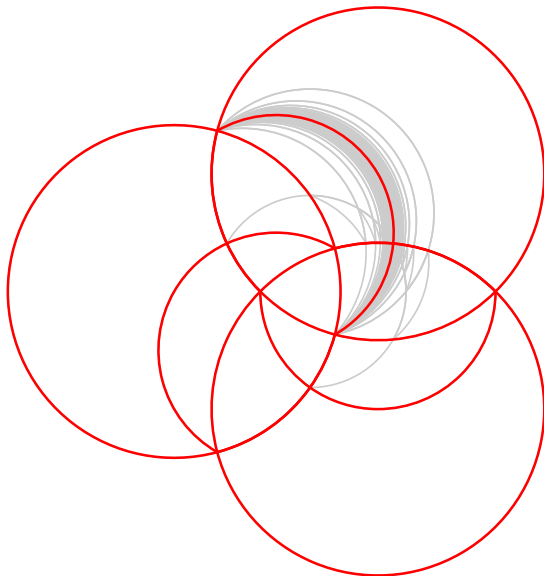
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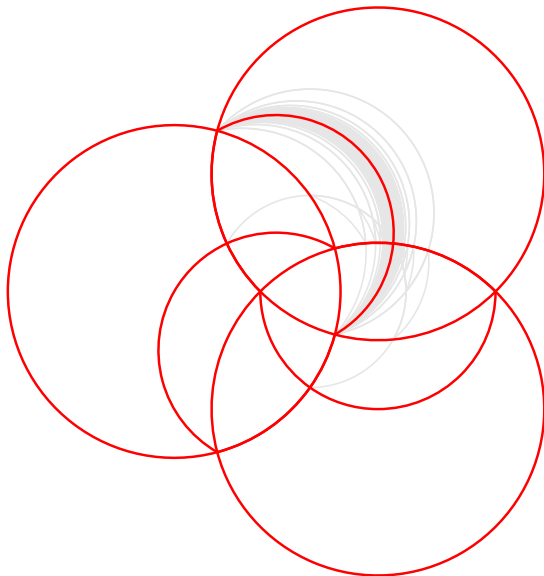
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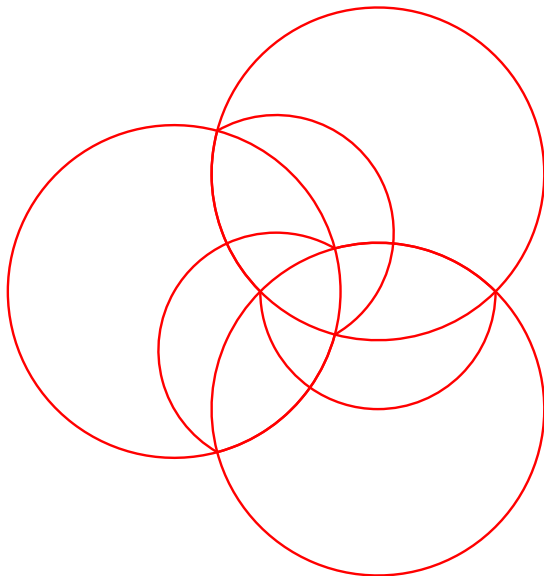
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$\mathcal{F}_{B''}$



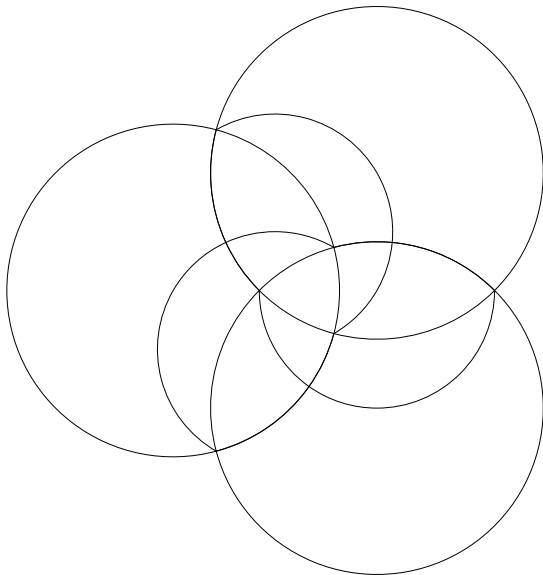
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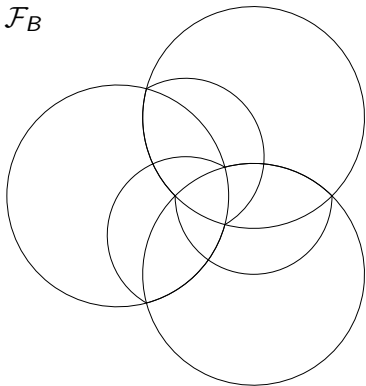
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$\mathcal{F}_{B''}$

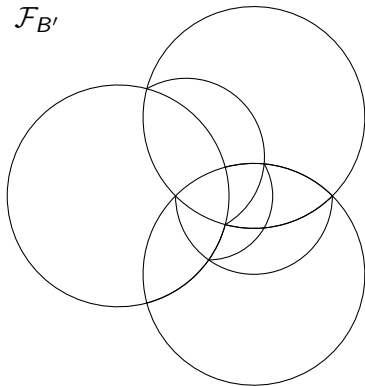


Non-Example: $B = \begin{bmatrix} 0 & 1 & -1 \\ -1 & 0 & 1 \\ 1 & -1 & 0 \end{bmatrix}$ $B' = \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 1 \\ 0 & -1 & 0 \end{bmatrix}$

\mathcal{F}_B



$\mathcal{F}_{B'}$



So far

- Mutation maps: a family of piecewise linear maps given by matrix mutation.
- B -coherent linear relations: Linear relations preserved by all mutation maps.
- Mutation-linear maps $\mathbb{R}^B \rightarrow \mathbb{R}^{B'}$: Send B -coherent relations to B' -coherent relations.
- Mutation fan \mathcal{F}_B : Common domains of linearity of all mutation maps. For well-behaved B , mutation-linear maps are closely tied to mutation fans.
- We are focusing on when $\text{id} : \mathbb{R}^B \rightarrow \mathbb{R}^{B'}$ is mutation-linear. This is if and only if \mathcal{F}_B **refines** $\mathcal{F}_{B'}$.
Necessary: B dominates B' .

The rest of the talk

Some specific cases where $\text{id} : \mathbb{R}^B \rightarrow \mathbb{R}^{B'}$ is mutation-linear (i.e. \mathcal{F}_B **refines** $\mathcal{F}_{B'}$).

- Finite type (\mathcal{F}_B is finite) acyclic:
 - \mathcal{F}_B is dual fan to **generalized associahedron** (the **Cambrian fan**, or equivalently the **g-vector fan**).
 - \mathcal{F}_B refines $\mathcal{F}_{B'}$ whenever B dominates B' .
 - Refinement relations arises from a **lattice-quotient relationship between Cambrian lattices**.
 - There is a related **lattice-quotient relationship between weak orders**.
- Refinement relation suggests an **algebraic relation between cluster algebras**.
- “Affine associahedron fans.”
- “Resection” of triangulated surfaces.

Cambrian fans (finite type)

Each B defines a Cartan matrix A .

$$\text{E.g. } B = \begin{bmatrix} 0 & 1 & 0 \\ -2 & 0 & 1 \\ 0 & -1 & 0 \end{bmatrix} \rightarrow A = \begin{bmatrix} 2 & -1 & 0 \\ -2 & 2 & -1 \\ 0 & -1 & 2 \end{bmatrix}$$

Coxeter fan: Defined by the reflecting hyperplanes of the Coxeter group W associated to A . Maximal cones \leftrightarrow elements of W .

Cambrian fan: A certain coarsening of the Coxeter fan. Depends on the extra sign information that's in B (or equivalently, depends on a Coxeter element, or equivalently an orientation of the Coxeter diagram). Two ways to look at this:

- Coarsen according to a certain lattice congruence on W .
- Coarsen according to the combinatorics of “sortable elements.”

For S_n , the normal fan to the usual associahedron. (In general, generalized associahedron.)

Cambrian fans and mutation fans

For B acyclic of finite type, \mathcal{F}_B is a Cambrian fan. (Key technical point: identify fundamental weights with standard basis vectors.)

Theorem (R., 2013). For B acyclic of finite type, \mathcal{F}_B refines $\mathcal{F}_{B'}$ if and only if B dominates B' .

Domination relations among exchange matrices imply domination relations among Cartan matrices. So the theorem is a statement that refinement relations exist among Cambrian fans when we decrease edge-labels (or erase edges) on Coxeter diagrams.

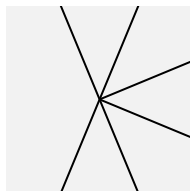
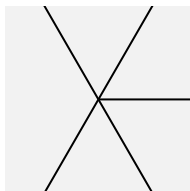
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Example (carried out **incorrectly**):



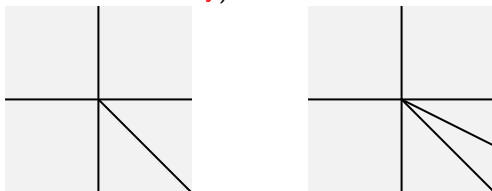
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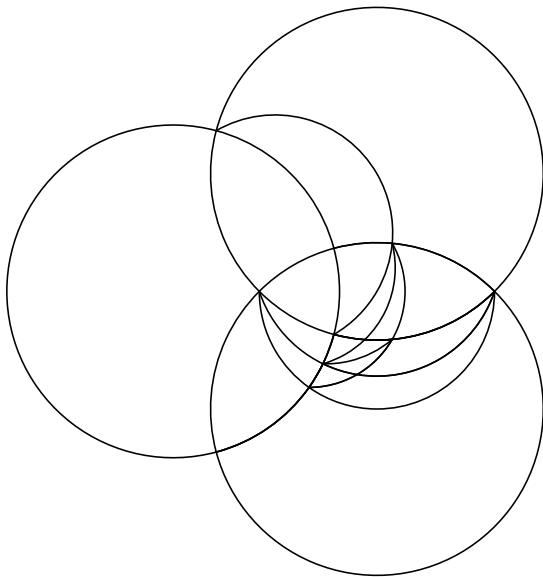
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Example (carried out **correctly**):



Example: $B = \begin{bmatrix} 0 & 1 & 0 \\ -2 & 0 & 1 \\ 0 & -1 & 0 \end{bmatrix}$

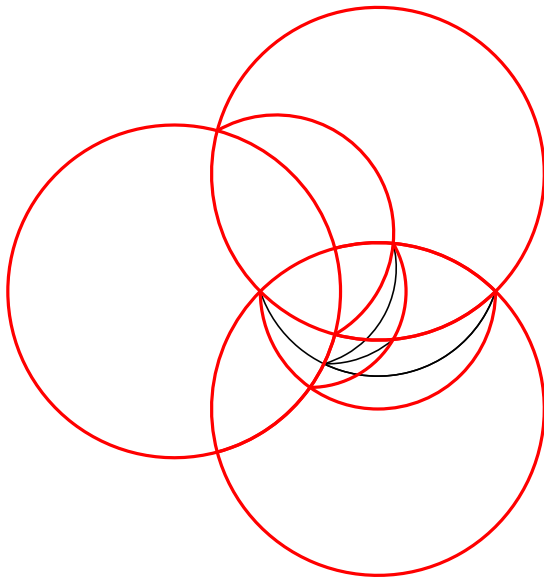
\mathcal{F}_B



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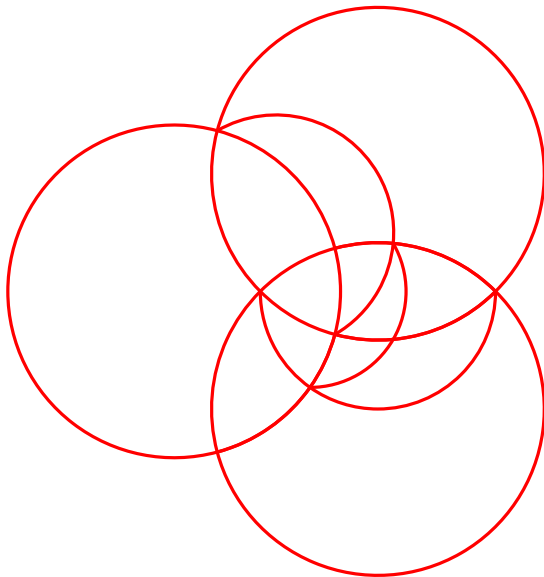
\mathcal{F}_B

$\mathcal{F}_{B'}$



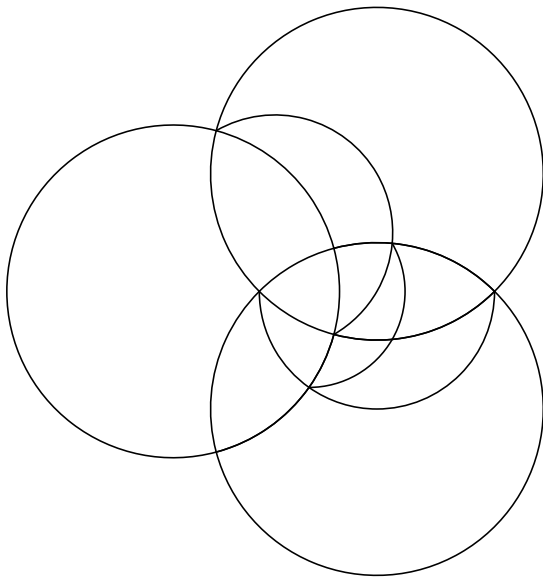
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$\mathcal{F}_{B'}$



Ring homomorphisms of cluster algebras (finite type)

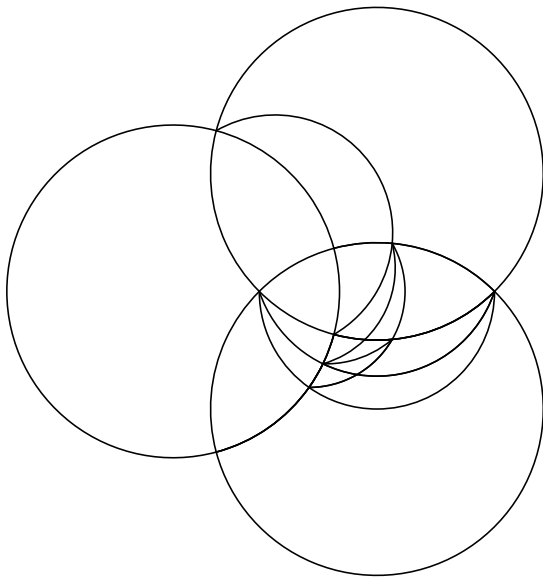
Cluster variables: Generate the cluster algebra (not freely!).

Rays of the mutation fan \mathcal{F}_B are in bijection with cluster variables.

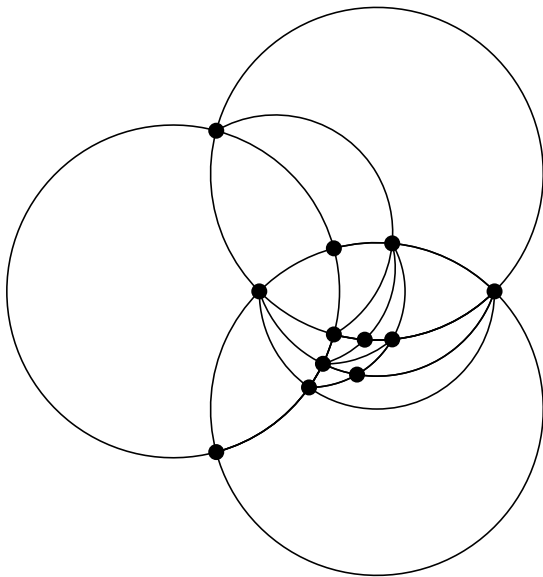
Therefore, if \mathcal{F}_B refines $\mathcal{F}_{B'}$, there is an inclusion

$$\{\text{rays of } \mathcal{F}_{B'}\} \hookrightarrow \{\text{rays of } \mathcal{F}_B\}$$

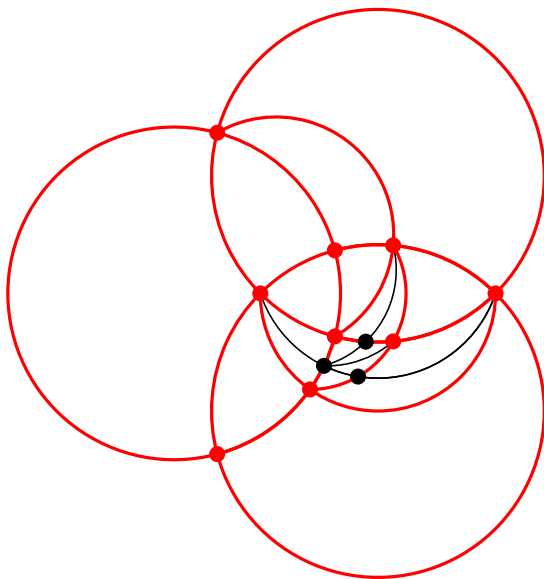
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Therefore there is a natural injective map on cluster variables.

This extends (in all cases we have checked) to an embedding of $\mathcal{A}_0(B')$ as a **subring** of $\mathcal{A}_0(B)$. (You have to deal correctly with coefficients—make the map preserve **g**-vectors).

Close algebraic relationships between **different** cluster algebras of the **same rank** are surprising *a priori*.

Lattice homomorphisms between Cambrian lattices

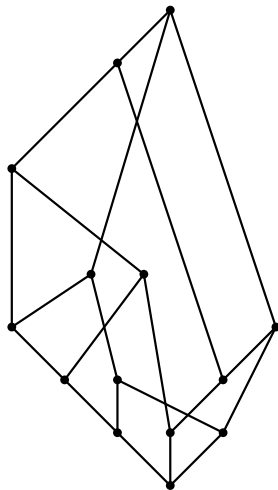
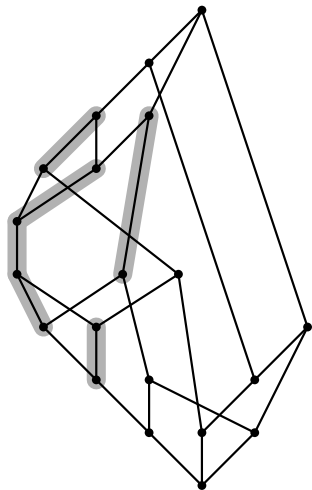
The **Cambrian lattice** Camb_B is:

- A partial order on maximal cones in the Cambrian fan \mathcal{F}_B .
The fan and the order interact very closely.
- A lattice quotient—and a sublattice—of the weak order on the finite Coxeter group associated to B .

One way to prove the refinement of fans is to show that there is a surjective lattice homomorphism from Camb_B to $\text{Camb}_{B'}$.

Theorem (R., 2012). This happens for all acyclic, finite-type B, B' with B dominating B' .

Example: A_3 Tamari is a lattice quotient of B_3 Tamari



Lattice homomorphisms between weak orders

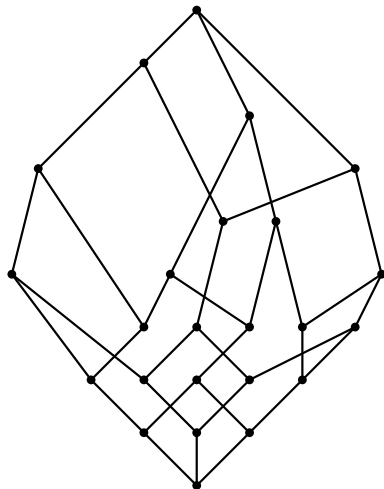
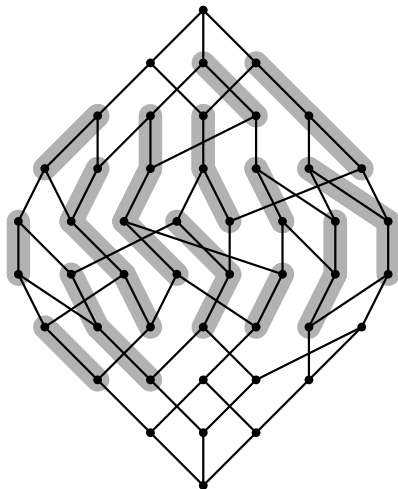
One way to prove that there is a surjective lattice homomorphism from Camb_B to $\text{Camb}_{B'}$:

Prove that there is a surjective lattice homomorphism between the corresponding weak orders.

Theorem (R., 2012). If (W, S) and (W', S) are finite Coxeter systems such that W dominates W' , then the weak order on W' is a lattice quotient of the weak order on W .

Domination here means that the diagram of W' is obtained from the diagram of W by reducing edge-labels and/or erasing edges.

Example: A_3 as a lattice quotient of B_3



(This is **not** S_3 as a lattice quotient of B_3 . It's S_4 .)

Affine associahedron fan

This is the “dual fan of affine associahedron” (except we don't have an affine associahedron).

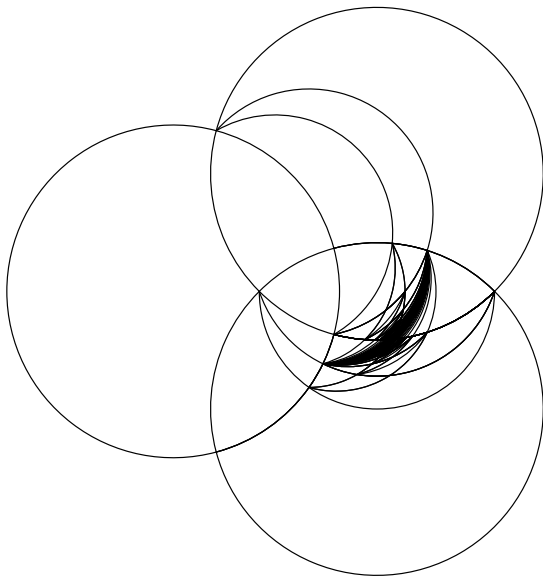
Joint with David Speyer: a Cambrian (**g**-vector) model of affine associahedron fan.

Joint with Salvatore Stella: an almost-positive roots (**d**-vector) model of affine associahedron fan.

Observed and expected to be proved soon: For B acyclic of **affine** Cartan type, \mathcal{F}_B refines $\mathcal{F}_{B'}$ if and only if B dominates B' . (Necessarily in this case, B' is of finite type.)

Example: $B = \begin{bmatrix} 0 & 1 & 0 \\ -2 & 0 & 2 \\ 0 & -1 & 0 \end{bmatrix}$

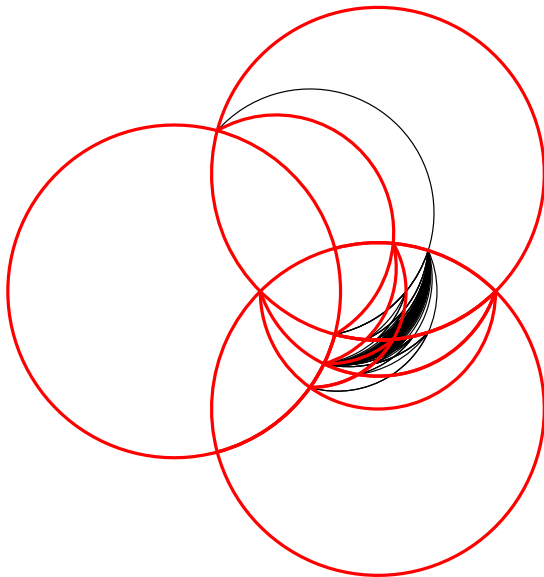
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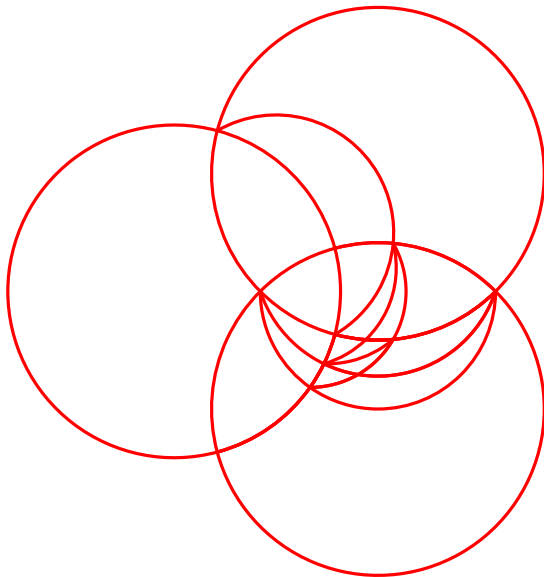
\mathcal{F}_B

$\mathcal{F}_{B'}$



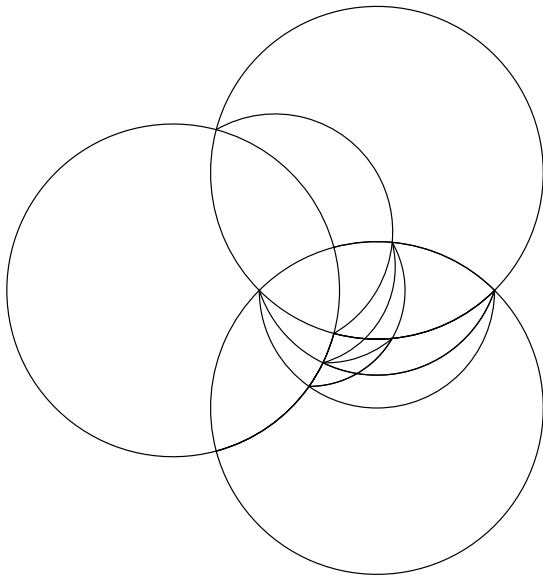
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$\mathcal{F}_{B'}$



Triangulated surfaces

Start with an orientable surface with boundary and some number of **marked points**. (Interior marked points called **punctures**.)

Arcs are non-self-intersecting curves connecting marked points (up to isotopy).

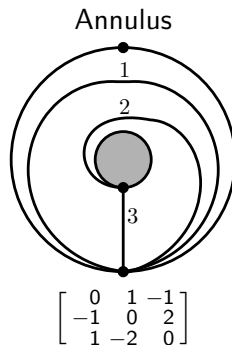
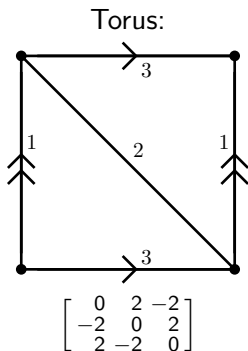
A **triangulation** T is a maximal set of non-intersecting arcs. This cuts the surface into triangles. Number the arcs $1, \dots, n$.

Signed adjacency matrix $B(T) = [b_{ij}]$ of a triangulation:

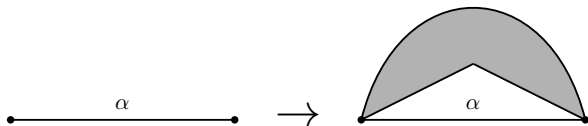
A triangle with arc i preceding arc j clockwise around a triangle contributes $+1$ to b_{ij} . Counterclockwise contributes -1 .

(Fomin, Shapiro, Thurston)

Signed adjacency matrix example



Resecting a triangulated surface on an edge



B : the signed adjacency matrix of a triangulated surface.

B' : the signed adjacency matrix for a surface obtained by resection,

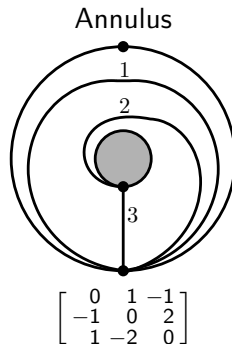
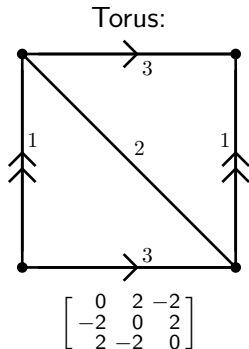
Proposition.* B dominates B' .

Theorem. (R., 2013) Assuming the Null Tangle Property,
 $\text{id} : \mathbb{R}^B \rightarrow \mathbb{R}^{B'}$ is mutation-linear.

Null Tangle Property: Probably true in many cases but not in general. Known for “polynomial growth” cases, for 1-punctured torus and 4-punctured sphere (the latter is joint with Barnard, Meehan, Polster).

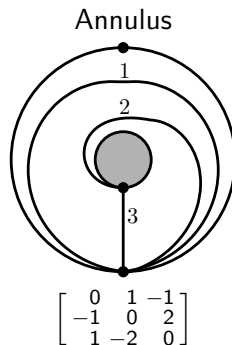
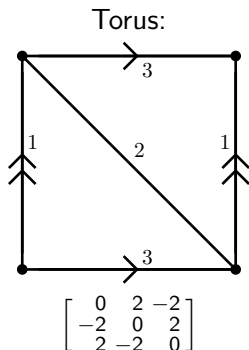
Example

This is a resection on arc 1.



Example

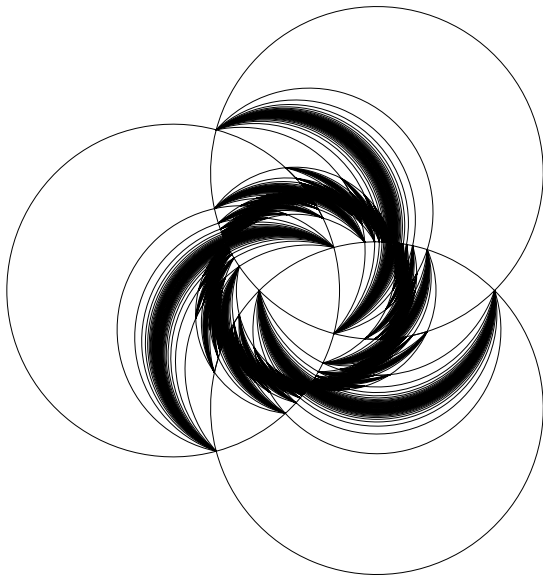
This is a resection on arc 1.



This is the mutation-fan refinement example from earlier.

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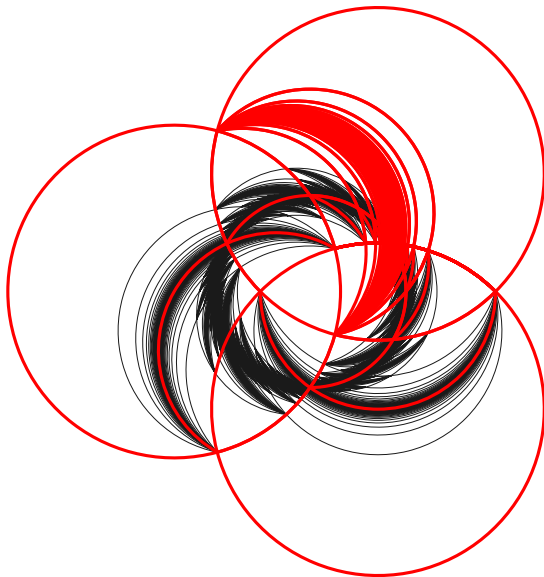
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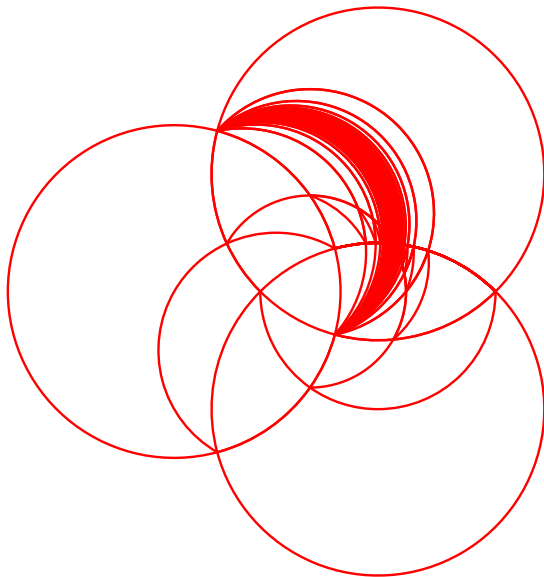
\mathcal{F}_B

$\mathcal{F}_{B'}$



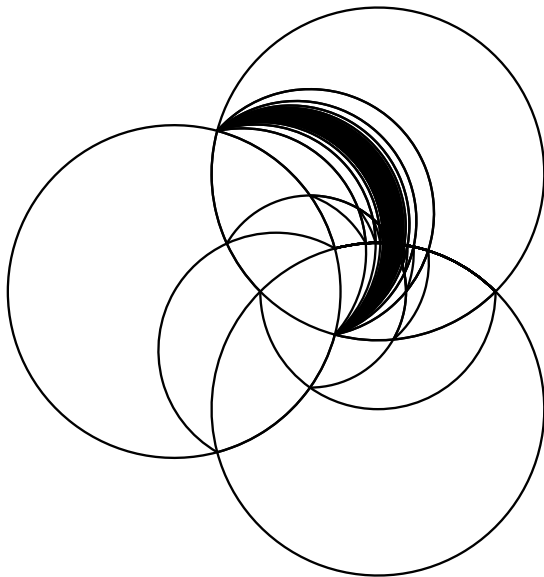
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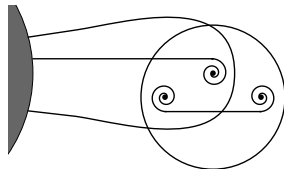
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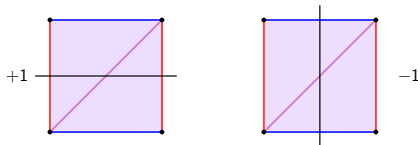


Curves and shear coordinates

Allowable curves: Closed curves or curves that on each end, either spiral in to a marked point, or hit the boundary, with some technical conditions. (Cf. unbounded measured laminations.)



Given a triangulation with arcs numbered $1, \dots, n$, each allowable curve λ has **shear coordinates**, a vector in \mathbb{R}^n . For the i^{th} entry, we consider intersections of λ with the i^{th} arc. Nonzero contributions:



The Null Tangle Property

A **tangle**: finite weighted collection Ξ of distinct allowable curves.

Shear coordinates of Ξ : weighted sum of the shear coordinates.

Null tangle: shear coordinates zero with respect to every triangulation*.

The Null Tangle Property: A null tangle has all weights zero.

Theorem (R., 2012). The shear coordinates of allowable curves are a (positive, integral) basis for $B(T)$ if and only if the Null Tangle Property holds.

Theorem (R., 2012). The Null Tangle Property holds for a disk with ≤ 2 punctures, for an annulus with ≤ 1 puncture, for a sphere with three boundary components and no punctures, and for the once-punctured torus.

Theorem (Barnard, Meehan, Polster, R., 2014). Also for a 4-punctured sphere.

Thanks for listening.